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**PATENT** 

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Schilling et al.

Examiner:

Unassigned

Serial No .:

10/797,788

Group Art Unit:

2872

Confirmation No:

4529

Docket:

1093-97

Filed:

March 10, 2004

Dated:

April 8, 2005

For:

RETROFLECTOR

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria,

Virginia 223)3-1450 on April 8, 2005.

Signed:

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Supplemental Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

## **UNITED STATES PATENTS**

**PATENTEE** 

PATENT NO.

**ISSUE DATE** 

Antes

4,568,141

Feb. 4, 1986

### **FOREIGN PATENT DOCUMENTS**

**COUNTRY** 

PUBLICATION NO.

**PUBLICATION DATE** 

**EPO** 

0 375 833 A1

July 4, 1990

Germany

DE 101 27 979 C1

Nov. 7, 2002

NON-PATENT PUBLICATION

Docket: 1093-97

"Diffractive Optical Code for IC-Card Security," Tompkin, et al., Optical Security and

Counterfeit Deterrence Techniques II, Vol. 3973 (2000).

The above-referenced documents are listed on PTO Form 1449 and copies are enclosed

to facilitate reference to them.

The references listed above were first brought to the Applicants' attention in a Search

Report issued by the European Patent Office on February 17, 2005. In any event, the Applicants

are submitting these documents prior to the mailing of the first Office Action in this application.

Applicants are not aware of any other references to be identified at this time. If the

Examiner has any questions or comments relating to the present application, he or she is

respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

Klevin E. McDermott

Registration No.: 35,946

Attorney for Applicants

HOFFMANN & BARON, LLP 6900 Jericho Turnpike

Syosset, New York 11791

(516) 822-3550

KEM:sbs

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. 1093-97 10/797,788 PATENT AND TRADEMARK OFFICE (Rev. 2-32) INFORMATION DISCLOSURE APPLICANT CONFIRMATION NO. STATEMENT BY APPLICANT Schilling et al. 4529 **GROUP** FILING DATE use several sheets if necessary) March 10, 2004 2872 U.S. PATENT PUBLICATIONS DOCUMENT SUB **EXAMINER** DATE CLASS NAME FILING DATE NUMBER CLASS INITIAL IF APPROPRIATE U.S. PATENT DOCUMENTS **DOCUMENT SUB EXAMINER** DATE NAME **CLASS** FILING DATE **INITIAL** NUMBER CLASS IF APPROPRIATE 4,568,141 Feb. 4, 1986 **Antes** FOREIGN PATENT DOCUMENTS SUB **TRANSLATION EXAMINER DOCUMENT** DATE **COUNTRY CLASS CLASS INITIAL** NUMBER YES NO **EPO** 0 375 833 A1 July 4, 1990 DE 10127979 C1 Nov. 7, 2002 Germany OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

### **EXAMINER**

### DATE CONSIDERED

"Diffractive Optical Code for IC-Card Security," Tompkin, et al., Optical Security and

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

Counterfeit Deterrence Techniques II, Vol. 3973 (2000).